

1 Sensing Cocoa (*Theobroma cacao* L.) Beans Fermentation by Electronic Nose System

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20 **Abstract**

21 Fermentation is a very important postharvest process where many processing properties and
22 sensory attributes are developed. However, cocoa fermentation still remains empirical due to its
23 complex mechanisms that evolved many microbiological changes. Some equipment such as
24 HPLC, GC-MS, and near infrared spectroscopy may be useful to study cocoa fermentation,
25 however they are relatively expensive, timing consuming and inaccessible to cocoa farmers. In
26 this study, a machine learning based electronic nose system was developed to determine the
27 fermentation time of cocoa beans. The system achieved a misclassification rate as low as 14.2 %
28 with relatively show time and low cost.

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30 Keyword: cocoa; fermentation; electronic nose; machine learning

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40 **1.Introduction**

41 Chocolate is one of the most profitable merchandise of the global confectionary industry.
42 The chocolate market worth 98.3 billion dollars in 2016 and the retail sale of chocolate in US
43 alone is estimated to be 22.4 billion for 2017 (Duncan 2017). Cocoa bean (*Theobroma cacao* L.)
44 is the major raw material in chocolate products. Globally, the production of cocoa bean was
45 4.031 million tons in 2016. Consumers are willing to pay more money for better quality
46 chocolate, which creates price gap between mediocre chocolate and fine making chocolate. In
47 most cases, the quality of cocoa bean is pivotal to the value of the final the chocolate products
48 (Afoakwa et al., 2008).

49 The quality of cocoa bean is influenced by its variety, soil, climate, crop management and
50 mainly by post-harvest processing (De Brito et al., 2001). Fermentation is a prerequisite for the
51 development of cocoa flavor precursors and better processing properties (Hue et al., 2016).
52 During there are many microbial, physiochemical and enzymatic effects that greatly change the
53 properties of cocoa. Some researchers (Biehl et al., 1982; Biehl et al., 1985) have reported that
54 the PH of cocoa beans can influence the formation of flavor precursors by either inhibiting or
55 stimulating the activities of proteolytic enzymes such as endoprotease (Biehl et al., 1982; Biehl
56 et al., 1985). Those proteolytic enzymes transform seed proteins into precursors for Maillard
57 reaction triggered at roasting process (Biehl et al., 1993).

58 Cocoa fermentation still remains empirical even it has been studied for more than one
59 hundred years. Fermentation conditions and fresh bean qualities are very difficult to control
60 which give rise to beans of inconsistent fermentation quality, which obliges processors
61 continuously to make changes of their formulations (Zhao et al., 2015). The formation of flavor
62 compounds during fermentation involves a successional growth of various species of yeasts,

63 lactic acid bacteria (LAB), acetic acid bacteria (AAB) and, possibly, species of Bacillus, other
64 bacteria and filamentous fungi (De Vuyst et al., 2010). In the beginning of fermentation, yeasts
65 transform carbohydrates in cocoa pulp into ethanol and carbon dioxide. In the meantime, LAB
66 converts citric acid and other remaining carbohydrates in the pulp to lactic acid, slightly
67 increasing the pH of cocoa beans (Lefeber et al., 2012). In the following stage, AAB oxidizes the
68 produced ethanol into acetic acid (Camu et al., 2007; Sandhya et al., 2016). The microbial
69 oxidation of ethanol into acetic acid increases the temperature, which kill the seed embryo and
70 diffusing acetic acid inside the beans. The diffused acetic acid disintegrates the cellular
71 membranes inside cocoa beans and triggers enzymatic conversions of substrates in the cotyledon
72 to develop characteristic flavor precursors and color of fully fermented cocoa beans (Thompson
73 et al., 2013). In the last stage, various species of Bacillus grow when the pH of the cocoa bean
74 becomes less acidic and the temperature increases to 40– 50 °C due to the oxidative metabolism
75 of ethanol.

76 Currently, the standard methods for determining the fermentation degree of cocoa bean is
77 cut test. This method consists in longitudinally cutting and counting the proportion of purple and
78 brown beans on a representative dried sample of 300 beans (Wood and Lass 2008). However, cut
79 test is relatively time consuming and the determination is based on human observations which
80 are inevitable inconsistent and bias. Sensory tests are alternative methods for cut test, however, it
81 is also time consuming and required a well-trained sensory panel. Some chocolate manufacturers
82 and researchers have applied techniques such as gas chromatography-Mass spectrometry (GC-
83 MS) (Grün et al., 2008; Caligiani et al., 2007), High-performance liquid chromatography
84 (HPLC) (Pätzold et al., 2006; Tomlins et al., 1990; Sandhya et al., 2016) and near infrared
85 spectroscopy (Hue et al., 2014) to determining cocoa fermentation degree by mapping the

86 profiles of compounds such as ammonia nitrogen, free amino acids, and volatile compounds.
87 Those methods were reported to be useful, however, those technologies are expensive and
88 difficult to conduct.

89 Electronic nose is an array of many gas sensor, mimicking the discrimination of the
90 mammalian olfactory system for smells (Persaud and Dodd 1982). Each gas sensor gives a
91 fingerprint response to given odors, and the response pattern of gas sensor can be recognized by
92 certain algorithms and then performs odor identification and discrimination (Arshak et al., 2004).
93 E-nose has been applied to access the qualities of some food materials include sausages (Eklöv et
94 al., 1998), vegetable oils (Hai and Wang 2006), milk (Capone et al., 2001), meats (Rajamäki et
95 al., 2006) and fruits (Saevens et al., 2004). In addition, the applications of e-nose in food quality
96 evaluation, discrimination, and control are also very broad. However, the applications of e-nose
97 in cocoa quality and processing controls were barely reported. Therefore, it is potentially useful
98 to develop a universal, affordable, and fast measuring methods for cocoa bean quality
99 determination.

100 Artificial neural network (ANN) is computational model used in machine learning,
101 mimicking the cognitive processes of human. Like the human cerebral cortex, a ANN consist of
102 layers of artificial nodes. In the basic model of the ANN, nodes are separated into different layers
103 and connections are built between nodes that are in adjacent layers. The weight is assigned to
104 connection between two nodes. each node calculates all the weighted inputs from connected
105 nodes in the previous layers and processed them by transfer function. The results from the
106 function are transferred to the connected nodes in the next layer. The effects of the synapses are
107 represented by connection weights that modulate the effect of the associated input signals, and
108 the nonlinear characteristic exhibited by neurons is represented by a transfer function. The

109 learning capability of an artificial neuron is achieved by adjusting the weights in accordance to
110 the chosen learning algorithm (Abraham 2005).

111 In this study, the fermentation of cocoa (*Theobroma cacao* L.) beans was monitor by self-
112 built electronic nose system. The responses of the e-nose were processed by artificial neural
113 network. The temperature and PH of cocoa beans during fermentation were recorded and cut
114 tests were conducted as reference.

115 **2. Materials & methods**

116 *2.1 Cocoa fermentation*

117 75 kg fresh cocoa beans (*Theobroma cacao* L.) were evenly distributed to 3 Styrofoam
118 coolers (60 × 30 × 30 cm). The three coolers were placed adjacent to each other in a fermentation
119 room with ambient temperatures varied from 20-30 °C. The cocoa beans were turned and mixed
120 every two days.

121 *2.2 PH, temperature measurements*

122 Temperature, PH measurements and cut tests were taken every day (Days 0-7) after the
123 first electronic nose reading was obtained. A thermometer (model EW-94469-40, Cole-Parmer,
124 Vernon Hills, IL) was inserted at three different depths (top, middle and bottom) in each of the
125 three Styrofoam coolers in order to obtain three replicates of readings for each treatment. PH
126 measurements were carried out using an Oakton Acorn series PH meter (model WD-35613-70,
127 Oakton, IL). the testa was separated from the cotyledons and placed in separate ceramic mortars.
128 10mL of distilled water was added to each and then the mixture was grounded using a ceramic
129 pestle.

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131 2.3 *E-nose system*

132 The design of the e-nose is based on Tan and Kerr (2018)'s work with some upgrade. The
133 diagram of the e-nose system is shown in Fig. 1. The system consisted of five major components,
134 including a micro pump (NMP830, KNF, Trenton, NJ), a 3-way solenoid valve (225T031, NR,
135 Caldwell, NJ), an Arduino board microcontroller (Uno, Arduino), e-nose (gas sensors and
136 chamber), and data acquisition system. The e-nose chamber was built from a 10cm \times 10cm \times 5cm
137 nylon box with a 1.5cm thick Teflon top. Sensors along with their socket were inserted into the
138 top with sensor head inside the chamber. The e-nose had nine gas sensors from Figaro USA, INC
139 (Arlington Heights, IL). The specification of each sensor was summarized in Table 1. The pump
140 is always open during sampling (30s) and cleaning (100s) and closed when e-nose is reacting
141 with gas. The valve alternated its direction to switch the e-nose from sampling model to cleaning
142 model.

143 The signals (output voltage as a function of time) were collected by three data acquisition
144 boards (Model NI9219, National Instruments, Austin, TX). A program was developed using
145 LabView software (Version 2015, National Instruments, Austin, TX) to collect data from the
146 DAQ. Three characters (relative peak, relaxation time, and rising time) of the responses of each
147 gas sensor were extracted. The 'relative peak' was defined as the output peak value minus the
148 baseline values of each sensor. The 'relaxation time' was defined as the time that the output
149 voltage decreased from the peak value to 80% of its relative peak value. The 'rising time' was
150 defined as the time needed before the responses of each sensor reached its relative peak.

151 2.4 *Artificial Neural Network (ANN) setup*

152 The three characters of each sensor were scaled to 0-1 before serving as training data.
153 ANN training was conducted by neural Matlab network toolbox (R2017a, MathWorks, Natick,

154 MA). There were 60 repetitions at each day of fermentation, of which 50 % repetitions were
155 used for training the ANNs while the rest were used for validation. The scaled target data were 0,
156 0.13, 0.28, 0.42, 0.57, 0.71, 0.85 and 1, representing fermentation times of 0, 1,2,3,4,5,6 7 days
157 respectively. At the beginning of training, initial weights between 0 to 1 were randomly
158 assigned. Training was done using a backpropagation function, which updates weight and bias
159 values according to the Levenberg-Marquardt optimization. Settings for the routine are shown in
160 Table 2. Hyperbolic tangent sigmoid (“tansig”) functions were used for hidden layers and output
161 layers

162 *2.8 Statistical methods*

163 All results presented as the mean and superscript letters which indicated significant
164 differences amongst treatments at the 95% level of confidence by Tukey’s HSD. The results
165 were compared by one-way ANOVA using JMP (Pro 13, SAS Institute Inc., Cary NC).

166 **3. Results and discussion**

167 *3.1 Temperature and PH variation during cocoa fermentation*

168 The trendlines in Fig. 1 and Fig. 2 shown the change of temperature and PH respectively
169 during fermentation. Generally, in the fermentation process, the temperature varied between 28
170 to 50 °C, and the peak temperature was observed in the fourth day of fermentation when
171 microbial action on producing ethanol and acids was about to over. The temperature of cocoa
172 beans changes in the fermentation process was due to heat generated activities of
173 microorganisms which transformed the substances in pulp into alcohol, carbon dioxide, organic
174 acid and other volatiles.

175 The PH in testa increased from 3.6-4.5 during fermentation, however, the PH in
176 cotyledon during drastically from 6.3 to 4.5. The observations were due to the organic acids
177 including acetic, oxalic, phosphoric, succinic, and malic acids produced by several yeasts,
178 penetrating the testa and gradually absorbed by the cotyledon.

179 *3.2 Fermentation time determination by ANN*

180 Table 3 shown the performance of the trained ANN. 14.2% overall misclassification rate
181 was achieved. The ANN misclassified 33.3% of the verification samples from the first
182 fermentation day. This was because cocoa fermentation didn't produce enough volatiles to reach
183 the thresholding sensitivity of some gas sensor in the first day. In addition, we cocoa bean
184 generated high content of water vapor in the headspace, camouflaging the volatiles. In addition,
185 ANN may scarify the accuracy for samples from the first day in order to achieve high overall
186 performance.

187 **4. Conclusion**

188 The ANN based e-nose system was proved to be successful in determining the
189 fermentation degree of cocoa bean. Compared to traditional methods, the proposed method is
190 much cheaper and fast. However, to make more powerful system that works for other cocoa
191 beans, a massive data library need to be established to provide enough number of training data.

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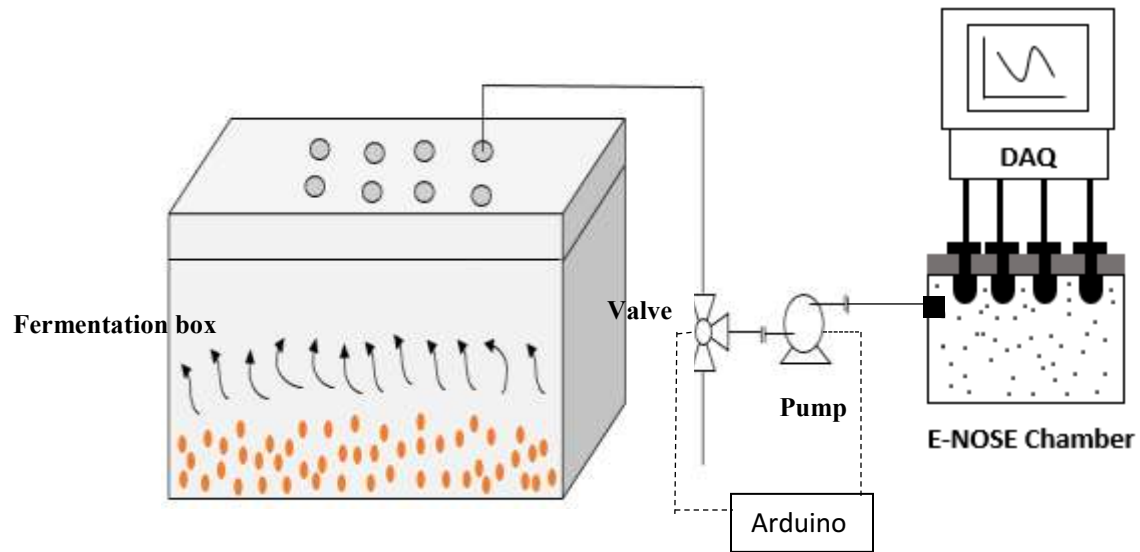


Fig. 1: The diagram of the e-nose system for cocoa fermentation

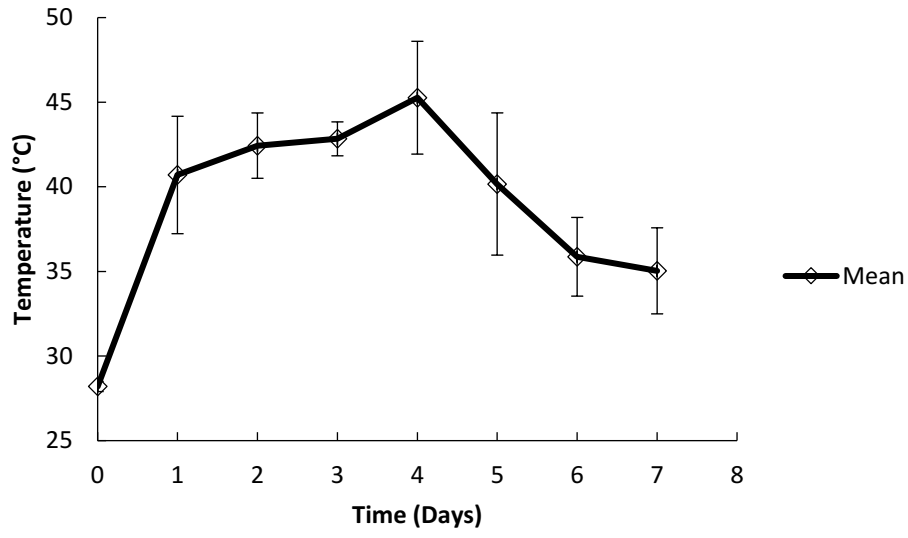


Fig. 2: The mean Temperature for the cocoa beans in the process of fermentation

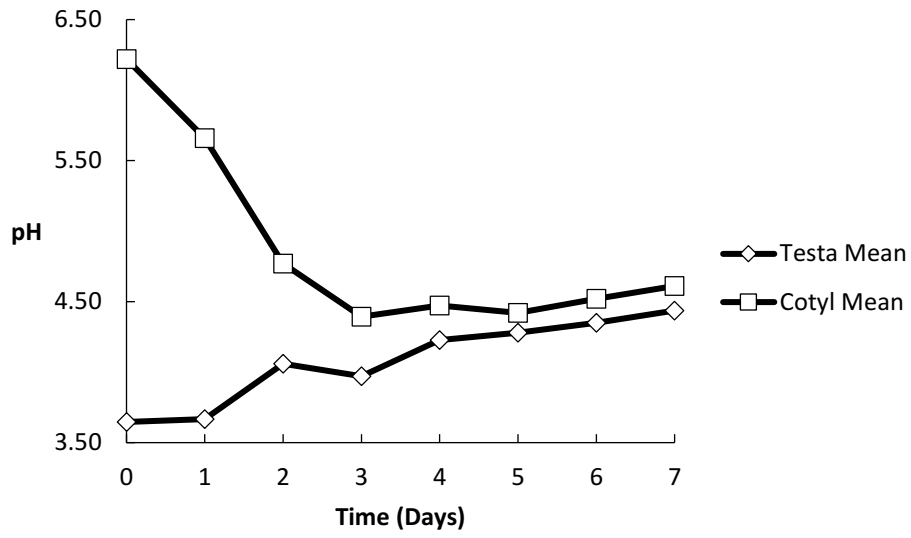


Fig. 3: The mean pH for the cocoa testa and cotyledon as a function of time in the fermentation

Table 1: features and specification of the gas sensors being used for e-nose system

Sensors	Features & specification
TGS821	Hydrogen
TGS 826	High sensitivity to ammonia and ethanol
TGS813	High sensitivity to methane, propane, and butane
TGS2602	High sensitivity to VOCs and odorous gases
TGS822	High sensitivity organic solvent vapors such as ethanol
TGS2610	High sensitivity to LP and its component gases (e.g. propane and butane)
TGS2620	High sensitivity to alcohol and organic solvent vapors
TGS830	R11, R113, other halocarbons
TGS823	High sensitivity to organic solvent vapors such as ethanol

Table 2: Initial settings for training artificial neural network (ANN)

Mu	Mu-dec	Mu-inc	Iterations	Validation check
0.001	0.1	0.1	1000	5000

Table 3: Performance of ANN for classify the fermentation time of cocoa

Fermentation time (day)	Misclassification rate (%)
0	33.3
1	16.7
2	6.7
3	13.3
4	13.3
5	16.7
6	6.7
7	6.7
Overall	14.2